



Water Industry (Scotland) Act 2002 Enforcement Notice Under Section 10 (1)

Risk Assessment Improvements

The Drinking Water Quality Regulator for Scotland ("the Regulator"), in exercise of the powers conferred by section 10(1) of the Water Industry (Scotland) Act 2002 hereby gives notice that she believes that Scottish Water has in the period 27th October 2017 to 18th November 2020, contravened regulation 30(2B)(a) and (b) of the Public Water Supplies (Scotland) Regulations 2014, as amended, ("the Regulations"), by failing to achieve approval that its existing risk assessment process meets the requirements of European standard EN 15975-2:2013 entitled "*Security of drinking water supply – Guidelines for risk and crisis management – Part 2: Risk management*" ("the Standard). The existing Drinking Water Safety Plans and process deliver a detailed risk assessment for each supply system and these require improvement to meet the requirements of the Standard and enable the Regulator to approve the methodology.

Therefore, Scottish Water is hereby required:

1. By 31st December 2020

To submit an Improvement Strategy to the Regulator, to include:

- a. A report on how Scottish Water intends to achieve and maintain compliance with the requirements of the Standard as assessed by an external accreditation body approved by the Regulator.
- b. A timeline for achieving compliance with the Standard.
- c. Details of how Scottish Water intends to maintain its existing risk assessment/Drinking Water Safety Plan process, until Scottish Water has achieved compliance with the Standard, to ensure that:
 - i. risk assessments and risk assessment reviews are completed and recorded at predetermined frequencies and in response to Scottish Water becoming aware of new risks; and
 - ii. appropriate control measures are put in place in response to awareness of new risk; and
 - iii. control measures are routinely monitored

2. As an interim measure, until completion of the Improvement Strategy, Scottish Water must maintain its existing risk assessment/Drinking Water Safety Plan process as outlined in Step 1(c).

3. By 30th June 2023

To undergo a compliance audit from an external accreditation body approved by the Regulator against the requirements of the Standard.

4. By 30th September 2023

To achieve accreditation to the Standard from an external accreditation body approved by the Regulator.

5. By 30th September 2023

To establish up a programme of surveillance visits with an external accreditation body approved by the Regulator to ensure ongoing conformance with the requirements of the Standard.

Until such a time as Step 4 is complete Scottish Water shall provide the Regulator with a progress report every three months, with the first report to be submitted by 30th March 2021.

This notice takes effect 15 days after the date shown below.



DRINKING WATER QUALITY REGULATOR FOR SCOTLAND

Date: 26th November 2020